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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/888,639	06/26/2001	Randolph J. Noelle	P 0280639	9079

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EXAMINER

GAMBEL, PHILLIP

ART UNIT	PAPER NUMBER
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1644

DATE MAILED: 05/03/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/888,639	<b>Applicant(s)</b> NOELLE ET AL.	
	<b>Examiner</b> Phillip Gambel	<b>Art Unit</b> 1644	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 02 March 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,4,7-11,13-15,17,20,21,24-26,28-31,34,35,38-40,42,43 and 46-50 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,4,7-11,13-15,17,20,21,24-26,28-31,34,35,38-40,42,43 and 46-50 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

*fa*

### DETAILED ACTION

1. Applicant's amendment, filed 3/2/05, has been entered.

Claims 1, 15, 30 and 42 have been amended

Claim 41 has been canceled.

Claims 2-3, 5-6, 12, 16, 18-19, 22-23, 27, 32-33, 36-37, 44-45 have been canceled previously.

Claims 1, 4, 7-11, 13-15, 17, 20-21, 24-26, 28-31, 34-35, 38-40, 42, 43 and 46-50 are under consideration in the instant application.

2. The text of those sections of Title 35 USC not included in this Action can be found in a prior Action.

This Office Action will be in response to applicant's arguments, filed 7/3/04.

The rejections of record can be found in the previous Office Actions.

3. Claims 1, 4, 7-11, 13-15, 17, 20-21, 24-26, 28-31, 34-35, 38-40, 42, 43 and 46-50 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Lederman et al. (U.S. Patent No. 6,403,091) in view of Berschorner (U.S. Patent No. 5,597,563) and Cobbold et al. (U.S. Patent No. 6,056,956) essentially for the reasons of record and in further view of Cornaby et al. (U.S. Patent No. 4,959,302).

Applicant's arguments, filed 3/2/05, have been fully considered but are not found convincing essentially for the reasons of record set forth in the previous Office Actions.

Applicant argues that the prior art does not teach nor suggest the administration of tolerizing agents "from five to eight days" prior to transplantation of the tissue or organ to be transplanted, as currently amended in the instant claimed methods.

As applicant noted Berschorner teach the duration of an immunosuppressive such as cyclosporine can be administered from about 7 days to about 28 days prior to the infusion of tolerogenic APCs (e.g. see columns 8-9, overlapping paragraph).

Cobbold et al. teach immunosuppressive anti-T cell antibodies can be administered repeatedly from 1 – 7 days prior to exposure to tolerogenic antigen (e.g. see column 4, paragraph 3).

Cornaby et al. teach the adjustment of immunosuppressive therapy to combat rejection and that measurement of IL-2 or IL-2 receptor levels provide such information concerning impending rejection from 2 – 8 days prior to a rejection episode. (e.g. see Detailed Description of the Invention, including column 9, paragraph 1-2) and that is can be helpful in appropriate scheduling of procedures associated with grafts (e.g. columns 9-10, overlapping paragraph).

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The administration of tolerizing agents "from five to eight days" prior to transplantation appears well within the variable of an immunosuppressive regimen that achieved a recognized result of inhibiting or preventing graft rejection and of creating a tolerogenic environment in order to achieve long term graft survival and well within the purview of the ordinary artisan meeting the needs of the patient and desired outcome.

As pointed out previously, Cobbold et al. teach methods of preventing graft rejection in tissue and organ transplants with anti-T cell antibodies in order to induce tolerance by providing antigen (see entire document, including columns 1-4). Cobbold et al. teach the provision of the antigen and the immunosuppressant at different times to provide an tolerance-permissive environment (see column 1-4).

The ordinary artisan provided immunosuppression prior, during and after transplanting grafts of interest, including encompassing the newly amended regimen.

In addition, the prior art recognized monitoring impending rejection encompassed by the time frame of the newly amended regimen.

Further, it is noted that the claimed methods recite "comprising" which leaves the claim open for the inclusion of unspecified ingredients even in major amounts. See MPEP 2111.03.

As pointed out previously and in contrast to applicant's assertions and given the teachings of providing antigen and/or antigen presenting cells containing the antigen to which specific tolerance is desired, including those at the time transplant, contemporaneously with immunosuppressants, as taught by Berschorner and/or Cobbold; one of ordinary skill in the art would have been motivated to combine the immunosuppressive properties of the CD40L-specific antibodies, taught by Lederman et al., to create an environment conducive to tolerance or specific unresponsiveness in the transplantation of a number of tissues and organs at the time the invention was made.

In contrast to applicant's assertions and given the teachings of Cobbold et al. that the presence of antigen as well as the use of anti-T cell antibodies can provide an environment conducive to tolerance or specific unresponsiveness, one of ordinary skill in the art would have had a reasonable expectation of success and motivation to employ the CD40L-specific antibodies in combining antigen presenting cells in transplanting a variety of tissues and organs at the time the invention was made.

It would have obvious to a person of ordinary skill in the art at the time the invention was made to apply the teachings of Berschorner AND/OR Cobbold et al. to those of Lederman et al. to provide methods of providing an environment conducive to tolerance or specific unresponsiveness by combining an immunosuppressant such as the CD40 ligand-specific antibodies, taught by Lederman et al. with a source of alloantigen or xenoantigen, as taught by Berschorner and Cobbold et al. to transplant a variety of tissues and cells. A person of ordinary skill in the art would have been motivated to produce this resultant therapeutic regimen to provide an environment conducive to tolerance or specific unresponsiveness to decrease the rejection of the transplanted tissue or organ and to increase the survival of such transplants.

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From the teachings of the references, it was apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole was prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

Applicant's arguments are not found persuasive.

6. Claims 1, 4, 7-11, 13-15, 17, 20-21, 24-26, 28-31, 34-35, 38-40, 42, 43 and 46-50 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-34 of U.S. Patent No. 5,683,693, claims 1-34 of U.S. Patent No. 5,902,585, and claims 1-7 of U.S. Patent No. 6,375,950

for the reasons of record and further in view of Berschorner (U.S. Patent No. 5,597,563), Cobbold et al. (U.S. Patent No. 6,056,956) and Cornaby et al. (U.S. Patent No. 4,959,302) for the reasons set forth above in the rejection under 35 § USC 103(a).

Although the conflicting claims are not identical, they are not patentably distinct from each other because the pending claims and the patented claims appear to read on the same or nearly the same methods of inducing specific unresponsiveness. Further, the patented claims appear to anticipate the instant methods.

Applicant's arguments and the examiner rebuttal are essentially the same as set forth above in the rejection under 35 § USC 103(a).

Applicant argues that the prior art does not teach nor suggest the administration of tolerizing agents "from five to eight days" prior to transplantation of the tissue or organ to be transplanted, as currently amended in the instant claimed methods.

For the reasons above, the administration of tolerizing agents "from five to eight days" prior to transplantation appears well within the variable of an immunosuppressive regimen that achieved a recognized result of inhibiting or preventing graft rejection and of creating a tolerogenic environment in order to achieve long term graft survival and well within the purview of the ordinary artisan meeting the needs of the patient and desired outcome.

It was well known and practiced by the ordinary artisan to provide immunosuppression prior, during and after transplanting grafts of interest, including encompassing the newly amended regimen.

In addition, the prior art recognized monitoring impending rejection encompassed by the time frame of the newly amended regimen

Applicant's arguments have not been found persuasive.

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Claims 1, 4, 7-11, 13-15, 17, 20-21, 24-26, 28-31, 34-35, 38-40, 42, 43 and 46-50 are directed to an invention not patentably distinct from claims 1-34 of commonly assigned U.S. Patent No. 5,683,693 and claims 1-34 of commonly assigned U.S. Patent No. 5,902,585 and further in view of Berschorner (U.S. Patent No. 5,597,563), Cobbold et al. (U.S. Patent No. 6,056,956) and Cornaby et al. (U.S. Patent No. 4,959,302) for the reasons set forth above in the rejection under 35 § USC 103(a).

The U.S. Patent and Trademark Office normally will not institute an interference between applications or a patent and an application of common ownership (see MPEP § 2302). Commonly assigned U.S. Patent No. 5,683,693 and U.S. Patent No. 5,902,585, discussed above, would form the basis for a rejection of the noted claims under 35 U.S.C. 103(a) if the commonly assigned case qualifies as prior art under 35 U.S.C. 102(f) or (g) and the conflicting inventions were not commonly owned at the time the invention in this application was made. In order for the examiner to resolve this issue, the assignee is required under 37 CFR 1.78(c) and 35 U.S.C. 132 to either show that the conflicting inventions were commonly owned at the time the invention in this application was made or to name the prior inventor of the conflicting subject matter. Failure to comply with this requirement will result in a holding of abandonment of the application.

A showing that the inventions were commonly owned at the time the invention in this application was made will preclude a rejection under 35 U.S.C. 103(a) based upon the commonly assigned case as a reference under 35 U.S.C. 102(f) or (g), or 35 U.S.C. 102(e) for applications filed on or after November 29, 1999.

Applicant's previous amendment indicates that terminal disclaimer will be filed upon clarification of the inventorship and ownership.

7. No claim allowed.

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phillip Gambel whose telephone number is (571) 272-0844. The examiner can normally be reached Monday through Thursday from 7:30 am to 6:00 pm. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (571) 272-0841.

The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Phillip Gambel, PhD.  
Primary Examiner  
Technology Center 1600  
April 28, 2005

A handwritten signature in black ink, appearing to read "Phillip Gambel", followed by a horizontal line.